

ATTORNEYS DOCKET NO. Form PTO-1390 (Rev. 12-29-99) US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE H 3876 PCT/US TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE February 13, 1999 PCT/EP00/00903 **February 4, 2000** TITLE OF INVENTION METHOD FOR PRODUCING PHYTOSTEROLS APPLICANT(S) FOR DO/EO/US Joerg Schwarzer, Bernhard Gutsche Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay 3. examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1). O A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4 5 A copy of the International Application as filed (35 U.S.C. 371(c)(2)). is transmitted herewith (required only if not transmitted by the International Bureau). 1,14 has been transmitted by the International Bureau. "-|| is not required, as the application was filed in the United States Receiving Office (RO/US). "14" ■ A translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) mai, are transmitted herewith (required only if not transmitted by the International Bureau). a. have been transmitted by the International Bureau. b. i main have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). ■ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED) 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items,11. to 16. below concern other document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12.

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment □ A SECOND or SUBSEQUENT preliminary amendment. 15.

A change of power of attorney and/or address letter. 16. ☐ Other items or information: "Express Mail Post Office to Addressee" service Mailing Label Number EL541612567US .

T.W. The following fees are summitted: BASTC NATIONAL, FEES OF CR. 14-86(2)(1)-(5)) Author international preliminary examination fee (37 CR. 1.48(2)) and to USPTO and International Search Report not prepared by the EPO or JPO	U.S. Application No. (If known, See 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP00/00903				ATTORNEY'S DOCKET NUMBER H 3876 PCT/US					
USPTO but International Search Report prepared by the EPO or PPO	BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO					CALCULATI	ONS	PTO USE ONLY		
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International preliminary examination fee paid to USPTO (37CFR 1.492) and all claims satisfied provisions of PCT Article 33(1)-(4)					00					
ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860 Surcharge of \$130.00 for furnishing the eath or declaration later than □ 20 □ 30 \$ 0 minimitus from the earliest claimed printity date 37 (CPR 1.95(e)). Total Claims NUMBER FILED NUMBER EXTRA RATE Total Claims 29 - 20 = 9 9 9 × \$18.00 \$ 162	International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)									
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### TOTAL OF ABOVE CALCULATIONS Substitution Su	ENTER APPROPRIATE BASIC FEE AMOUNT =					\$	860			
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TOTAL OF ABOVE CALCULATIONS = \$ 1022 Reduction of ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). SUBTOTAL = \$ 1022 Processing fee of \$130.00 for furnishing the English translation later the 20 30 \$ 0 months from the earliest claimed priority date (37 CFR 1.92(f)). + 1022 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + 1022 TOTAL FEES ENCLOSED = \$ 1022 Amount to be: refunded: charged: \$1022.00 a. A check in the amount of \$ to cover the above fees is enclosed. A triplicate copy of this sheet is enclosed. Order No. \$0.10503. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$0.127. A triplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.94 of 1.495 has not been met, a printing to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Cognis Corporation, Law Dept. 2500 Renaissance Blvd., Suite 200 Gulph Mills, PA 19406 SUITE: Where an appropriate time limit under 37 CFR 1.949 of 1.495 has not been met, a printing to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Cognis Corporation, Law Dept. 2500 Renaissance Blvd., Suite 200 Gulph Mills, PA 19406		3 - 3 =	0	0 X \$80.0	00	\$	0			
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a. A check in the amount of \$ to cover the above fees is enclosed. b. Please charge my Deposit Account No. 50-1177 in the amount of \$1022.00 to cover the above fees. A triplicate copy of this sheet is enclosed. Order No. 01-0503. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1177. A triplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a partition to revive (37.6FR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Cognis Corporation, Law Dept. 2500 Renaissance Blvd., Suite 200 Gulph Mills, PA 19406 Aaron R. Ettelman NAME ATTORNEY FOR APPLICANT 42,516						\$	1022			
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